

19 December 2014

Dr. Stephen Crocker  
Chairman  
Internet Corporation for Assigned Names and Numbers  
12025 Waterfront Drive, Suite 300  
Los Angeles, CA 90094

Re: Enhanced Security Requirements in Financial Regulated New gTLDs

Dear Dr. Crocker,

As you are aware, fTLD Registry Services, LLC (fTLD), registry operator of the .bank gTLD and community applicant for the .insurance gTLD, recently engaged a community-based security requirements working group to review the enhanced security requirements the American Bankers Association (ABA) and Financial Services Roundtable (FSR) submitted to ICANN on 20 December 2011,<sup>1</sup> with a request that they be implemented in financially-oriented gTLDs. Though ICANN did not take a formal position on the recommended requirements, ABA and FSR were pleased to see them referenced in question 30, Security Policy, of the Applicant Guidebook, as an illustrative example of an independent standard to demonstrate effective security controls are in place for gTLDs such as those that are financial services oriented.

I am pleased to share that the security requirements working group concluded its work earlier this month and that fTLD's Board of Directors approved the updated enhanced security requirements on 17 December 2014. In addition to the attached security requirements matrix I'd also like to highlight that specificity and/or clarifications were made to several technical requirements including those for DNSSEC, E-Mail Authentication, Transport Layer Security and DNS resource record aliasing. Consistent with fTLD's Affirmation of Commitments<sup>2</sup> and the principles of its security requirements working group, we will continue to periodically review these requirements to respond to the security or other needs of the global financial services community.

As always, please do not hesitate to contact me if you have any questions. Additionally, if fTLD can be of service to ICANN in connection with any ongoing discussions within the community about enhanced consumer protection safeguards for gTLDs associated with highly regulated industries, we're happy to be helpful if you see a role for us.

Best regards and a happy holiday season to you.

Sincerely,



Craig Schwartz  
Managing Director

Attachment

cc: Thomas Schneider, Chair, ICANN Governmental Advisory Committee

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<sup>1</sup> See <https://www.icann.org/en/correspondence/aba-bits-to-beckstrom-crocker-20dec11-en.pdf>

<sup>2</sup> See <https://www.ftld.com/files/ftld-aoc.pdf>